

**Annex № 9**  
**To Art. 33, par. 1, it.3 of Ordinance № 2 from**  
**17.09.2003**  
**And Regulation (EU) 596/2014 of the European**  
**Parliament and of the Council**  
*of 16 April 2014*

**INFORMATION OF “SOPHARMA” AD ON FACTS**  
**AND CIRCUMSTANCES WHICH HAVE OCCURRED**  
**IN THE THIRD QUARTER OF 2021**

- 1.1. No change in the persons controlling the Company.
- 1.2. (Annulled – State Gazette, issue 63 from 2016).
- 1.3. (Annulled – State Gazette, issue 63 from 2016).
- 1.4. (Annulled – State Gazette, issue 63 from 2016).
- 1.5. (Annulled – State Gazette, issue 63 from 2016).
- 1.6. No opening of bankruptcy proceedings against the company or its subsidiary or any significant events related to production.
- 1.7. No acquisition, use or disposition of high-value assets.
- 1.8. On 15 March 2021 an agreement was concluded between “Sopharma” AD and Medical Consumables OOD for a common policy in the management of “Momina Krepost” AD through joint exercise of voting rights.
- 1.9. (Annulled – State Gazette, issue 82 from 2007).
- 1.10. Change of the auditors of the company - At the Regular General Meeting of Shareholders of “SOPHARMA” AD, held on June 4, 2021, the auditing company “BAKER TILLY KLITOU AND PARTNERS” OOD, based in Sofia, Acad. “Ivan Evst. Geshov ” 104, for a registered auditor for inspection and certification of the Annual Financial Statements of the Company.
- 1.11. (Annulled – State Gazette, issue 63 from 2016).
- 1.12. (Annulled – State Gazette, issue 63 from 2016).
- 1.13. (Annulled – State Gazette, issue 63 from 2016).
- 1.14. (Annulled – State Gazette, issue 63 from 2016).
- 1.15. (Annulled – State Gazette, issue 63 from 2016).
- 1.16. (Annulled – State Gazette, issue 63 from 2016).
- 1.17. (Annulled – State Gazette, issue 63 from 2016).
- 1.18. (Annulled – State Gazette, issue 63 from 2016).
- 1.19. (Annulled – State Gazette, issue 63 from 2016).
- 1.20. (Annulled – State Gazette, issue 63 from 2016).
- 1.21. (Annulled – State Gazette, issue 63 from 2016) No conclusion or performance of essential contracts, which do not relate to the ordinary business of the Company.
- 1.22. (Annulled – State Gazette, issue 63 from 2016).
- 1.23. (Annulled – State Gazette, issue 63 from 2016).
- 1.24. (Annulled – State Gazette, issue 63 from 2016).
- 1.25. (Annulled – State Gazette, issue 63 from 2016).
- 1.26. (Annulled – State Gazette, issue 63 from 2016).
- 1.27. (Annulled – State Gazette, issue 63 from 2016).

***This is a translation from Bulgarian of the individual financial statements for the third quarter***  
***of „Sopharma“ AD.***

***In case of divergence, the Bulgarian text shall prevail.***

1.28. (Annulled – State Gazette, issue 63 from 2016).

1.29. (Annulled – State Gazette, issue 63 from 2016).

1.30. There is no opening or termination of a court or arbitration case relating to the debts or claims of the company or its subsidiary with a claim price of at least 10% of the company's equity.

1.31. Purchase, sale or established pledge of shares in commercial companies by the issuer or its subsidiary.

On 10 March 2021 the Company sold 396,600 of its shares in the capital of “Momina Krepost” AD, as a result of which the share of ownership of “Sopharma” AD became 37.46%.

On 7 June 2021 Sopharma AD reduced its share in the capital of the subsidiary Sopharma Buildings REIT to 12.67%. As a result, the investment in Sopharma Buildings REIT as of 30 June 2021 is classified as another capital investment.

1.32. (Annulled – State Gazette, issue 63 from 2016).

1.33. (Annulled – State Gazette, issue 63 from 2016).

1.34. Other circumstances that the Company considers may be relevant to investors in taking a decision to acquire, sell or continue to hold publicly-traded securities: none.

“Sopharma” AD informs all interested parties that the interim financial statements of the Company as at 30 September 2021 have not been verified by a registered auditor.

***This is a translation from Bulgarian of the individual financial statements for the third quarter of „Sopharma“ AD.***

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